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1 “ Client/s ” in this text refers to proposers for insurance or policyholders or Insureds, as may be relevant in the context in each case.

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the handbook describes which insurance transactions are regulated. If you provide fee protection insurance to your clients, this may be a regulated activity (see Part 3, schedule 9 of the handbook) and you may need a DPB (Investment Business) licence and enhanced PII.

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certain firms to hold this kind of cover because: it provides an extra financial resource that you can pay justified claims from

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professional indemnity insurance in a form resulting in a level of coverage equivalent to (a) or (b). [Note: Article 67 (3) of MiFID and article 31 (1) of the CRD (see also rule IPRU-INV 13.1.11R

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Professional indemnity insurance (24)

Reinsurance (28) Risk transfer (6)

Liquidity risk management (33)

Contingency funding plans (11) Intra-group liquidity management (5)

Liquid assets buffer (8) Management of collateral (43) Pricing liquidity risk

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(3) Market risk management (49)
Value at risk (8) CAD model 1 (5) PRR
(15) Operational risk ...

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The separate 'Guidance on Insurance and Limitation of Liability' has been removed. The substantive provisions

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are now at gC114. Version 4.1 – July 2019. New Price, Service and Redress Transparency Rules. Version 4.1 of the Handbook includes the new price, service and redress transparency rules for self-employed barristers, chambers, and BSB ...

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IPRU-INV 13.1 APPLICATION, GENERAL REQUIREMENTS AND PROFESSIONAL INDEMNITY INSURANCE REQUIREMENTS; IPRU-INV 13.1A Capital resources and professional indemnity insurance

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requirements for an exempt CAD firm;
IPRU-INV 13.3 FINANCIAL
RESOURCES TEST 1 - OWN FUNDS;
IPRU-INV 13.6 Large exposures
[deleted] IPRU-INV 13.8 Trading Book
[deleted]

FCA Handbook - FCA Handbook

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An authorised body carrying on a practice during any indemnity period beginning on or after 25 November 2019 must take out and maintain qualifying insurance under these rules with a participating insurer.; In respect of its obligation under rule 2.1, an authorised body must obtain a

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policy of qualifying insurance prior to the expiry of the policy period, that provides cover incepting on and ...

SRA | Indemnity Insurance Rules | Solicitors Regulation ...

The regulator has been reluctant to allow advisers to self-insure DB work,

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despite its handbook permitting additional capital to be held in place of adequate professional indemnity insurance for ...

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It ends with health insurance, longevity risk, long-term care insurance, life insurance financial products and social insurance. This second version of the Handbook contains 15 new chapters.

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Handbook of Insurance | Georges Dionne | Springer

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You will also be in breach of the BSB

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Handbook if you provide legal services during that 21-day period without insurance. Please be aware that if you have professional indemnity insurance in place covering your practice as a self-employed barrister, you can continue to practise in this capacity whilst you are waiting

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for entity insurance cover.

Insurance for BSB entities - Bar Standards Board

As set out in PRIN 3.3 (Where?), Principles 1 (Integrity), 2 (Skill, care and diligence) and 3 (Management and control) apply to world-wide

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activities in a prudential context. Principle 5 (Market conduct) applies to world-wide activities which might have a negative effect on confidence in the UK financial system 8. In considering whether to take regulatory action under these Principles in ...

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PRIN 1 - FCA Handbook
Insurance Brokers Professional
Indemnity Policy Insurance Brokers PI
Policy 4 of 16 (iv) any complaint
required to be dealt with by the
Assured under the Dispute Resolution:
Complaints (DISP) Sourcebook of the

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Financial Services Authority

Handbook; (v) the discovery by the Assured that any Documents either owned by or the

Insurance Brokers ' Professional Indemnity Policy
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insurance (PI) cover is becoming more and more challenging for architects. Many are experiencing rising premiums and exclusions affecting practices, with legacy projects now seen as risky by insurers.

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